

05/28/99
JC559 U.S. PTO

Express No. EL 199 138 645 US

236/238

Hon. Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor: SHIGERU TANAKA and GARY ASHLEY STAFFORD
For: SPECIALLY SHAPED BALLOON DEVICE FOR USE IN SURGERY
AND METHOD OF USE

Enclosed are:

- ☒ 26 pgs. Spec.; 8 pgs claims; 1 pg. abstract
- ☒ 7 sheets of drawings (☒ Informal ☐ Formal)
- ☐ Declaration and Power of Attorney
- ☐ Assignment of the invention to: _____, and an Assignment Cover Sheet
- ☐ A Verified Statement Claiming Small Entity Status under 37 CFR §§ 1.9 and 1.27.

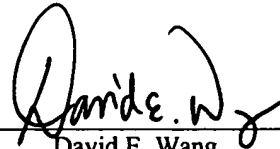
The filing fee has been calculated as shown below:

-Total Claims	42 (-20 <u>22</u> x \$18)	\$
-Independent Claims	5 (-3 <u>2</u> x \$78)	\$
-Multiple Dependent Claim(s)	(\$260)	\$
-Statutory Basic Filing Fee	(\$760)	\$
-Surcharge 37 CFR 1.16(e)	(\$130)	\$
TOTAL OF ABOVE CALCULATIONS		\$
-Reduction for Small Entity (1/2)	\$	\$
-Assignment	(\$40)	\$
TOTAL FEES SUBMITTED HEREWITH		\$

- ☒ This application is filed without fee or declaration pursuant to 37 CFR 1.53.
- ☐ A check in the amount of \$----- to cover the above fees is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the fees associated with this communication, or credit any overpayment, to Deposit Account 12-2475.

LYON & LYON LLP

Date: July 28, 1999

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05/28/99

236/238

"Express Mail" mailing label number: EL 199 138 645 US

Date of Deposit: July 28, 1999

I hereby certify that the attached patent application, including the: **New Application Transmittal, Specification (26 pgs. spec., 8 pgs. claims; 1 pg. abstract), 7 sheets of informal drawings** [[filed without fee or dec. per 37 CFR 1.53]

for SHIGERU TANAKA and GARY ASHLEY STAFFORD , Lyon & Lyon Docket 236/238, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Rick Moreno

Rick Moreno